ROBERT KLITGAARD

Robert Klitgaard is a University Professor at Claremont Graduate University, where he served as President from 2005 to 2009.

Klitgaard advises countries around the world on government reform and economic strategy, and his consulting work and research have taken him to more than 35 countries in Africa, Asia, Europe, and Latin America. He has participated in the World Economic Forum as a faculty member and currently as a member of the Global Agenda Council. His recent international partners range from environmental nonprofits to Supreme Audit Authorities; from the IMF to the OECD; from the Government of Sonora, Mexico, to the Institute of Directors in Thailand; from the International Anti-Corruption Academy in Austria to the Royal Institute for Governance and Strategic Studies in Bhutan.

In the summers of 2010 and 2011, he was the Li Ka-shing Distinguished Chair Visiting Professor, Lee Kuan Yew School of Public Policy, National University of Singapore. Before joining CGU, he was the Dean of the Pardee RAND Graduate School, America's leading Ph.D. program in policy research, where he was also the Ford Distinguished Professor of International Development and Security. He was the Lester Crown Visiting Professor of Economics at Yale's School of Management and an Associate Professor of Public Policy at Harvard's John F. Kennedy School of Government, where he also served half-time as Special Assistant to Harvard President Derek Bok.

His ten books include:

Addressing Corruption Together (Paris: OECD, 2015). How to define, measure, and combat corruption.

Tropical Gangsters II: Adventures in Development in the World's Poorest Places (Amazon Kindle KDP Select, 2013). "A must read for anyone who is concerned about the problems of endemic poverty and understanding the forces that inhibit effective change." —Timothy Besley, London School of Economics.

High-Performance Government: Structure, Leadership, Incentives (RAND, 2005) (edited with Paul C. Light). "This sometimes sprawling but hugely insightful work is the first significant public management book about performance in the new century. It rivals John Roberts' *The Modern Firm* currently regarded by many (this reviewer included) as the best business book thus far on performance in the 21st century." —*The Public Manager*.

Corrupt Cities: A Practical Guide to Cure and Prevention (ICS Press and World Bank Institute, 2000; translated into 13 languages) (with Ronald MacLean-Abaroa and H. Lindsey Parris). "This small gem of a book...is an exemplar of the transfer of economic principles into the practice of public management." —The Journal of Economic Literature.

Adjusting to Reality: Beyond "State versus Market" in Economic Development (ICS Press and International Center for Economic Growth, 1991; translated into Spanish and French), a study of policies to make markets work better, make governments work better, and close the economic gaps among ethnic groups. "Lively and highly readable...goes beyond the abstractions of academia and the slogans of the World Bank to present a step-by-step guide to identifying problems and implementing the recommended policies." —Journal of Economic Literature.

Tropical Gangsters (Basic Books, 1990; I. B. Tauris, 1991), a first-hand account of economic reform in Africa. Named by the editors of the *New York Times Book Review* as one of the six best non-fiction books of 1990. Included in *New York Times' Books of the Century*.

Controlling Corruption (University of California Press, 1988; translated into 8 languages), a study of corruption and how to reduce it in the developing countries. "A most worthwhile book, both for its insights into anticorruption policies and for the academic debate about more general questions that it will certainly create." –American Political Science Review.

Elitism and Meritocracy in Developing Countries (Johns Hopkins University Press, 1986), an analysis of selection policies (including affirmative action) around the globe. "This groundbreaking book...should be required reading for social scientists, both in universities and outside them, who are seriously interested in the important roles and impacts of education in developing countries." –Annals of the American Academy of Political and Social Sciences.

Data Analysis for Development (Oxford University Press, 1985), how to apply statistics and econometrics to policy problems in poor countries.

Choosing Elites (Basic Books, 1985), how educational elites are and should be selected in the United States. Listed in *The Harvard Guide to Influential Books*. Named one of "900 Great Books of the Western World" as compiled in 2000 by Prof. Alexander H. McIntire, Jr., of the University of Miami.

Employment

Claremont Graduate University. University Professor, 2005-present. President 2005-2009.

National University of Singapore. Li Ka-shing Distinguished Chair Visiting Professor, Lee Kuan Yew School of Public Policy, National University of Singapore. Summer 2010 and Summer 2011. Visiting professor, August 2014.

The Pardee RAND Graduate School. 1997-2005. Dean and Ford Distinguished Professor of International Development and Security.

University of Natal, Durban. 1993-1997. Professor of Economics. Head of postgraduate programs in economics (1995-1996). Fellow of the University of Natal (1996).

School of Management, Yale University. 1992. Lester Crown Visiting Professor of Economics.

University of Natal, Pietermaritzburg. 1991-2. Visiting Professor of Economics.

Centre d'Etudes et de Recherches Internationales, Paris. 1990. Visiting Scholar.

The RAND Corporation, Santa Monica. Visiting Scholar. 1988-89.

Ministry of Finance, Equatorial Guinea. 1986-1988. Economist/Administrator of an economic rehabilitation project funded by the World Bank. Worked with a team of ministers to design a structural adjustment program, reform sectoral strategies, and undertake rehabilitation projects. Managed a budget of about \$13 million, approximately one-seventh of the country's GNP.

Kennedy School of Government, Harvard University. 1977-1984; on leave 1984-1986. Associate Professor of Public Policy and as Special Assistant to the President of Harvard.

University of Karachi, Pakistan. 1975-1977. Visiting Research Professor, Applied Economics Research Centre, under the auspices of the Ford Foundation.

PUBLICATIONS

Books:

Addressing Corruption Together (Paris: OECD, 2015).

Tropical Gangsters II: Adventures in Development in the World's Poorest Places. Amazon: KDP Books 2013.

High-Performance Government: Structure, Leadership, Incentives. (Edited with Paul C. Light). Santa Monica: The RAND Corporation, 2005.

Corrupt Cities: A Practical Guide to Cure and Prevention (ICS Press and World Bank Institute, 2000; translated into sixteen languages) (with Ronald MacLean-Abaroa and H. Lindsey Parris).

Adjusting to Reality: Beyond "State versus Market" in Economic Development (ICS Press and International Center for Economic Growth, 1991; translated into Spanish and French).

Tropical Gangsters (Basic Books, 1990; I. B. Tauris, 1991).

Controlling Corruption (University of California Press, 1988; translated into fourteen languages).

Elitism and Meritocracy in Developing Countries (Johns Hopkins University Press, 1986).

Data Analysis for Development (Oxford University Press, 1985).

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Monographs:

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Assessing Partnerships: New Forms of Collaboration (with Gregory F. Treverton). New Ways to Manage Series. Arlington, VA: IBM Endowment for the Business of Government, March 2003. http://businessofgovernment.org/sites/default/files/Assessing%20Partnerships.pdf

A Practical Approach to Dealing with Municipal Malfeasance (with Ronald MacLean-Abaroa and H. Lindsey Parris). UMP Working Paper Series 7, Urban Management Programme (Nairobi: UNDP/UNHCS (Habitat)/World Bank, May 1996). A longer version was published as *Preventing Corruption* by the Economic Research Unit, University of Natal, Durban, in 1996.

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Institutional Reform and the Challenges Facing South Africa, IRIS Research Report #112, Center for Institutional Reform and the Informal Sector, University of Maryland, June 1994.

Assessing Cultures, IRIS Research Report #2, Center for Institutional Reform and the Informal Sector, University of Maryland, February 1993.

Dealing with Corruption and Intimidation in Criminal Justice Systems: Cases and Materials, ed. Philip Heymann and Robert Klitgaard (Harvard Law School, 1991).

Exploring the Neglected: Hawkers and Peddlers in Karachi (with others) (University of Karachi, 1977).

Controlling Exports on National Security Grounds (with Graham Allison and Richard Huff) (U.S. Government Printing Office, 1975).

Pricing and Recoupment Policies for Commercially Useful Technology Resulting from NASA Programs (with others), R-1671-NASA (The RAND Corporation, 1975).

National Security and Export Controls, R-1432-ARPA/CIEP (The RAND Corporation, 1974).

Achievement Scores and Educational Objectives, R-1217-NIE (The RAND Corporation, 1974).

A Statistical Search for Unusually Effective Schools (with George Hall), R-1210-CC/RC (The RAND Corporation, 1973).

Articles and Chapters:

"Corruption" in *International Development: Inquiries in Global Development Practice*, ed. Paul Battersby, Ravi Roy, and José Roberto Guevara (Sage Press, forthcoming).

"What We Talk About When We Talk About Corruption," forthcoming.

"Development Given Geography, Climate, and Genes" (with Johannes Fedderke and Valerio Napolioni), forthcoming.

"Diagnosing Deep Roots of Development: Genetic, Disease, and Environmental Factors" (with Johannes Fedderke, James MacMurray, and Valerio Napolioni), forthcoming.

Reforming Mandaue City: The Struggle to Implement the Performance Governance System (with Melissa Mahoney Smith), Case 1-430-497 and Teaching Note. Ann Arbor: WDI Publishing, University of Michigan (January 2017).

"The Limits of Institutional Reform in Developing Countries," (book review) *Public Administration and Development*, 33:5 (December 2013)

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Heather Baser), in *Governance Innovations: Lessons from Experience. Building Government-Citizen-Business Partnerships*, ed. Suzanne Taschereau and Jose Edgardo L. Campos (Ottawa: Institute on Governance, 1997).

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"Comment: The Cultural Dimensions of Governance," Proceedings of the World Bank Annual

Conference on Development Economics 1991, Supplement to The World Bank Economic Review and The World Bank Research Observer, March 1992.

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"On the Emergence of Public Policy Studies and Implications for Comparative Work," in *Public Policy and Policy Analysis*, ed. S. R. Ganesh *et. al.* (New Delhi: Sage, 1985).

"The Economics of Teacher Education in Pakistan" (with others), *Comparative Education Review*, Vol. 29, No. 1 (Winter 1985).

"Managing the Fight Against Corruption: A Case Study," *Public Administration and Development*, Vol. 4, No. 1 (Spring 1984).

"Overcoming Ethnic Inequalities: Lessons from Malaysia" (with Ruth Katz), *Journal of Policy Analysis and Management*, Vol. 2, No. 3 (Spring 1983); reprinted in *Policy Studies Review Annual, Vol. 7*, ed. Ray C. Rist (New Brunswick: Transaction, 1985).

"Education on the Auction Bloc: An Admissions Fable," Change, Vol. 15, No. 2 (March 1983).

"The Economics of Teacher Training: An Exploratory Data Analysis" (with others), *Pakistan Journal of Applied Economics*, Vol. 2, No. 2 (Summer 1982).

"Integrating Public Services for Rural Development: A Policy Framework," *Philippine Journal of Public Administration*, Vol. 26, No. 2 (April 1982).

"On Reviewing International Studies," Journal of Higher Education, Vol. 52, No. 2 (1981).

"Regression without a Model" (with Sadequa Dadabhoy and Simin Litkouhi), *Policy Sciences*, Vol. 13, No. 1 (1981).

"Why International Studies? A Prologue," *Change*, Vol. 13, Nos. 1-2 (Jan./Feb. 1981); reprinted in *NAFSA Newsletter*, National Association for Foreign Student Affairs, Vol. 32, No. 7 (June 1981).

"Support for Young Investigators," *Bulletin of the Atomic Scientists*, Vol. 36, No. 10 (December 1980).

"Government Support and Young Academics," Change, Vol. 12, No. 6 (September 1980).

"Merit and Admissions Policy: Case Studies from Pakistan" (with others), *Comparative Education Review*, Vol. 23, No. 2 (June 1979).

"Cognitive Equality and Educational Policies: An Example from Pakistan" (with Sadequa Dadabhoy and Simin Litkouhi), *Pakistan Development Review*, Vol. XVIII, No. 1 (Spring 1979).

"Historical Perspectives on Export Controls," in *Integrating National Security and Trade Policy: The United States and the Soviet Union*, ed. Dana Mead (West Point: U.S. Military Academy, 1978).

- "Sending Signals," Foreign Policy, No. 32 (Fall 1978).
- "Identifying Exceptional Performers," *Policy Analysis*, Vol. 4, No. 4 (Fall 1978).
- "Justifying Basic Research in Education," Minerva, Vol. XVI, No. 2 (Summer 1978).
- "A Statistical Search for Unusually Effective Schools," in *Statistics and Public Policy*, eds. William Fairley and Frederick Mosteller (Reading: Addison-Wesley, 1977).
- "On Assessing a Gift Horse," International Development Review, No. 4 (1975).
- "Are There Unusually Effective Schools?" (with George Hall), *Journal of Human Resources*, Vol. 10, No. 1 (Winter 1975).
- "Going Beyond the Mean in Educational Evaluation," Public Policy, Vol. 23, No. 3 (Winter 1975).
- "Measuring Technological Change: Comments on a Proposed Methodology," *Technological Forecasting and Social Change*, Vol. 6, No. 4 (Fall 1974).
- "Institutionalized Racism: An Analytical Approach," *Journal of Peace Research*, Vol. 8, No. 1 (1972); reprinted in *Racial Conflict, Discrimination, and Power: Historical and Contemporary Studies*, eds. William Barclay, Krishna Kumar, and Ruth P. Simms (New York: AMS Press, 1976).
- "Observations on the Peruvian National Plan for Development, 1971-1975," *Inter-American Economic Affairs*, Vol. 25, No. 3 (Winter 1971).
- "The Dual Labor Market and Manpower Policy," Monthly Labor Review, Vol. 71, No. 11 (1971).
- "Gandhi's Nonviolence as a Tactic," Journal of Peace Research, Vol. 7, No. 2 (1971).

Selected Other Articles:

- "Thomas C. Schelling's Contributions to Policy Analysis," Building State Capability, Harvard University, December 2016. https://buildingstatecapability.com/2016/12/16/thomas-c-schellings-contributions-to-policy-analysis/
- "Rejuvenating Governance in China," *South China Morning Post*, Dec. 2, 2016. http://www.cqu.edu/PDFFiles/Presidents%20Office/Rejuvenating.pdf
- "Development Given Climate, Geography, and Genes" (with Johannes Fedderke and Valerio Napolioni), paper presented at the Annual Bank Conference on Development Economics, Washington, DC: The World Bank, June 2016.
- $\underline{http://www.cgu.edu/PDFFiles/Presidents\%20Office/Development\%20Given\%20March\%202016.p} \underline{df}$
- "Interview with Robert Klitgaard," Eduardo Engel, *Qué Pasa*, May 27, 2016. http://www.cgu.edu/PDFFiles/Presidents%20Office/klitgaard%20interview2.pdf
- "Foreword," to Jesus P. Estanislao (2016) *It Can Be Done: Bright Spots in the Governance Reform Movement in the Philippines* (Manila: Institute for Solidarity in Asia).
- "Tackling Corruption: The Solution Is?" *Fair Observer*, October 2013. http://www.fairobserver.com/article/tackling-corruption-solution
- "Toward a Turning Point against Corruption: Examining Global Anti-Corruption Efforts" (with Michael Hershman), Ethisphere, Q4 (2011): 50-51.
- "Corruption and Poverty in Asia: An Interview with Robert Klitgaard," Asian Trends Monitoring, August 2011.

http://www.asiantrendsmonitoring.com/2011/08/24/poverty-and-corruption-in-southeast-asia-an-interview-with-robert-klitgaard/

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"Three Perfect Days in Franschhoek," Franschhoek Style, August 2010.

"Combating Corruption," *United Nations Chronicle*, Vol. XXXV, No. 1 (1998). Also published online at http://www.un.org/Pubs/chronicle/1998/issue1/0198p90.html

"Civil Servants, Like Everyone Else, Respond to Incentives," EDI Forum, Vol. 2, No. 2, Fall 1997.

"Fighting Corruption: Information and Incentives," *Nueva Sociedad*, No. 145 Sept.-Oct. 1996 (in Spanish).

"What Can Be Done?" *The Unesco Courier* (Paris, UNESCO), special issue on corruption, June 1996. Also published by UNESCO in many other languages.

"Strategies for Fighting Systematic Corruption," *Información Comercial Española. Revista de Economía*, Num. 741, May 1995 (in Spanish).

"Beyond Democracy and Free Markets?" *Natal University Focus*, Winter 1994; also published in Spanish in *Bolivian Times Weekly*.

"El Principio del Pez Gordo: Usos y Limitaciones," in *El Principio del Pez Gordo: Cómo Combatir la Corrupción* (Editorial Planeta, 1994).

"What Can Citizens Do about Corruption?" *Trefoil*, Vol. 59, No. 2 (July 1993)

"On Frying Big Fish," Optima, Vol. 19, No. 2 (October 1993).

"Making Governments and Markets Work Better," Economic Reform Today, Fall 1992.

"Frying the Big Fish: Campaigns Against Corruption," *Indicator South Africa*, Vol. 9, No. 3 (Winter 1992).

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"Microeconomics and Public Policy," Pakistan Economist, November 1976.

"Student Power and Student Politics," Viewpoint, November 1976.

"Student Merit and Admissions Policy for Pharmacy School," *Manpower Review*, Vol. 1, No. 2 (Fall 1975).

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"Crisis in Peru," The New Leader, Vol. 56, No. 14 (July 1973).

"Onward Christian Soldiers: Dehumanization and the Military Chaplain," *Christian Century*, Vol. 87, No. 46 (November 1970).

"Should Seminarians Be Deferred?" Christian Century, Vol. 86, No. 17 (April 1969).

Teaching Cases:

"From Reform to Implementation: Mandaue, The Philippines," Parts A and B (with Melissa Mahoney), March 2016.

Wrote or supervised the preparation of more than twenty teaching cases, in use at Harvard and elsewhere.

EDUCATION

A.B. (philosophy), Harvard College.

M.P.P., Kennedy School of Government, Harvard University.

Ph.D. (public policy), Harvard University.

MISCELLANEOUS

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Married to Elaine van Biljon Klitgaard, four children age 18 to 30.